

## ADDITIONAL RISK DISCLOSURE STATEMENT

Dear Sir or Madam: The information on your account application indicates that you do not meet the guidelines to open a commodity futures and options trading account for ONE or MORE of the following reasons:

- You are not at least 23 years old
- You are retired
- You do not have at least one year of futures investment experience
- Your annual income is less than \$25,000
- Your net worth is less than \$25,000

While we are prepared to open your account, it is necessary to advise you to reconsider this investment. Based on your personal information and/or investment experience, futures and/or options trading might be too risky of an investment strategy. The loss in trading commodity futures can be substantial. You should carefully consider whether such trading is suitable for you in light of your circumstances and financial resources. The high degree of leverage that is obtainable in futures trading because of the small margin requirements can work against you as well as for you. The use of leverage can lead to large losses as well as gains.

ACKNOWLEDGMENT I understand that I do not meet the minimum guidelines to open an account as set forth by in the above paragraphs. However, I have considered the financial risk involved in commodity trading with regard to my personal situation and I wish to proceed with opening an account.

**Customer Name:** \_\_\_\_\_

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Date**